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# Low expression of PINK1 and PARK2 predicts poor prognosis in patients with esophageal squamous cell carcinoma

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## Abstract

**Background** The Parkinson's disease (PD) gene family expression is strongly linked to tumor development and progression; *PINK1* and *PARK2* are essential members of the PD gene family. However, the relationship between *PINK1* and *PARK2* and esophageal squamous cell carcinoma (ESCC) remains unknown. This research aims to clarify the prognostic value of *PINK1* and *PARK2* in ESCC.

**Methods** *PINK1* and *PARK2* protein levels in 232 ESCC specimens, and 125 matched adjacent normal tissues were detected by immunohistochemistry. The relationship between *PINK1* and *PARK2* protein expression and clinicopathological features were analyzed. Kaplan–Meier survival analysis was performed to estimate the prognostic value of the *PINK1* and *PARK2* proteins in patients. Cox univariate and multivariate analyses were used to assess the risk factors affecting the OS for patients with ESCC.

**Results** *PINK1* and *PARK2* had low expression in ESCC. Patients with low *PINK1* had worse differentiation and advanced T and TNM stages. Lower *PARK2* expression was linked to lymph node metastases and an advanced TNM stage. Furthermore, reduced *PINK1* and *PARK2* levels were associated with a poor prognosis for ESCC. Cox univariate and multivariate analyses revealed that *PINK1*, *PARK2*, and tumor size were closely associated with the prognosis of patients with ESCC, and *PARK2* was an independent risk factor for patients with ESCC. Finally, the *PINK1* and *PARK2* proteins were closely related and shared the same signal pathway.

**Conclusions** *PINK1* and *PARK2* could work as tumor suppressors in ESCC and are likely to become new treatment targets for ESCC.

**Keywords** Esophageal squamous cell carcinoma, *PINK1*, *PARK2*, Prognosis

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## Introduction

Esophageal carcinoma (EC) is one of the top 5 cancers in terms of incidence and mortality in China and the leading cause of cancer deaths globally [1]. Esophageal squamous cell carcinoma (ESCC) is the most common type of EC, affecting 90% of patients [2]. Despite advances in diagnostic tools and multimodal therapy, ESCC survival rates remain low [3, 4]. Although the mechanism is unknown, many genes may be implicated, consistent with multiple tumorigenesis and malignant behavior elements [5–7]. The Parkinson's disease (PD) gene family appears to affect cancer cell proliferation and migration [8–11].

The PD gene family—*PARK1*, *PARK2*, *PARK5*, *PARK6*, and *PARK7*—is crucial to developing PD [12, 13]. PD gene expression is also closely linked to tumor development and progression [14, 15]. The *PINK1* gene, also known as *PARK6*, encodes the 581-amino-acid PINK1 protein [16]. The *PINK1* gene is extensively expressed in mammalian organs and cells [17] and plays a crucial role in injury, inflammation, and other processes [15, 18]. *PINK1* induces mitochondrial dysfunction, oxidative stress, and cell death and promotes tumor growth. MYC regulates metabolic reprogramming in colorectal cancer by decreasing *PINK1* expression [19]. The *PINK1* gene knockdown may lower breast cancer cell malignancy [20]. Furthermore, ESCC models with strong PINK1 protein expression may tolerate neoadjuvant chemotherapy [21]. However, few studies have shown how PINK1 affects ESCC progression.

*PARK2*, also known as Parkin, is a neuroprotective gene in the PD gene family [22]. *PARK2* regulates the generation cycle, mitochondrial dynamic balance, energy metabolism, and other cellular activities [23–25] and is linked to many diseases. These findings suggest that it is an extensive and critical gene. The *PARK2* gene has been identified as a new cancer-associated factor in liver cancer [26], glioblastoma [27], lung cancer [28], and colon cancer cell lines [29]. *PARK2* overexpression can inhibit these cells from reproducing. *PARK2* inhibition increased pancreatic cancer cell proliferation and tumorigenicity in vitro [30]. *PARK2* expression and prognosis in ESCC remain unknown.

We investigated the expression of the PINK1 and PARK2 proteins in ESCC using immunohistochemistry. The clinicopathological characteristics of patients with ESCC were linked to the expression of PINK1 and PARK2. The prognostic value of PINK1 and PARK2 in patients with ESCC was investigated. Furthermore, the risk factors affecting the overall survival (OS) of patients with ESCC were analyzed using Cox univariate and multivariate analysis. The signal pathways *PINK1* and *PARK2* were also predicted to confirm their possible mechanism or pathway in ESCC development.

## Materials and methods

### Clinical specimens

A total of 232 radically resected ESCC tissues, 128 matched neighboring normal tissue specimens, and patients' clinicopathological data were collected between 2013 and 2020, excluding those who had preoperative chemotherapy or radiotherapy. Patients were followed up via telephone or medical records until October 2, 2021, or death.

### Immunohistochemistry (IHC) and assessment

A tissue microarray was formed using 232 ESCC and 128 normal tissue specimen wax blocks. Immunohistochemical experiments were performed on 4- $\mu$ m tissue microarray sections. Antigens were repaired using a tris-EDTA buffer (pH 9.0) with high-pressure heat recovery for 8 min. After dewaxing and repairing the sections, endogenous peroxidase was inhibited for 10 min by 3% H<sub>2</sub>O<sub>2</sub> before being washed three times in 5 min with a phosphate-buffered saline (PBS) solution. The IHC was conducted on stained tissue sections using the EnVision method (DAKO, Glostrup, Denmark). The tissue sections were incubated for 8 h at 4 °C with anti-PINK1 rabbit polyclonal antibody (1:1000 dilution; 23,274-1-AP; Proteintech; China) and anti-PARK2 rabbit polyclonal antibody (1:800 dilution; 14060-1-AP; Proteintech; China). The sections were washed with PBS solution and incubated with the second EnVision antibody for 30 min at 37 °C. Finally, proteins were detected using 3,3'-diaminobenzidine and hematoxylin. The tissue slices were counterstained with hematoxylin and eosin and observed under optical microscopy.

The product of the percentage of positive cells and staining intensity yielded four grades of staining scores: "0" for negative, "1–4" for weak, "5–6" for moderate, and "7–12" for strong. The scores of positive cells were defined as follows: "0" denotes 0–5% positive cells; "1" denotes 6–25% positive cells; "2" denotes 26–50% positive cells; "3" denotes 51–75% positive cells; and "4" denotes  $\geq$  76% positive cells. The staining strength grades were 0 (no staining), 1 (yellow), 2 (brown-yellow), and 3 (brown). Two pathologists examined the staining intensities and positive cell proportions; if there was a disagreement between the two pathologists, a third pathologist was invited to investigate. The low-expression group scored  $\leq$  6, whereas the high-expression group scored  $>$  6.

### Gene set enrichment analysis (GSEA)

GSEA was used to investigate the biological signaling pathway of *PINK1* and compare the low- and high-expression groups to the median expression level. As mentioned above, the *PARK2* signaling pathway was investigated. The net enrichment score (NES), gene

ratio, and *P* value determined the enrichment items of the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways. We set the random sample permutation number to 178, and significant enrichment was characterized as gene sets with default values ( $|NES| > 1$ ,  $NOM P < 0.05$ , and  $FDR q \text{ value} < 0.25$ ).

**Statistical analysis**

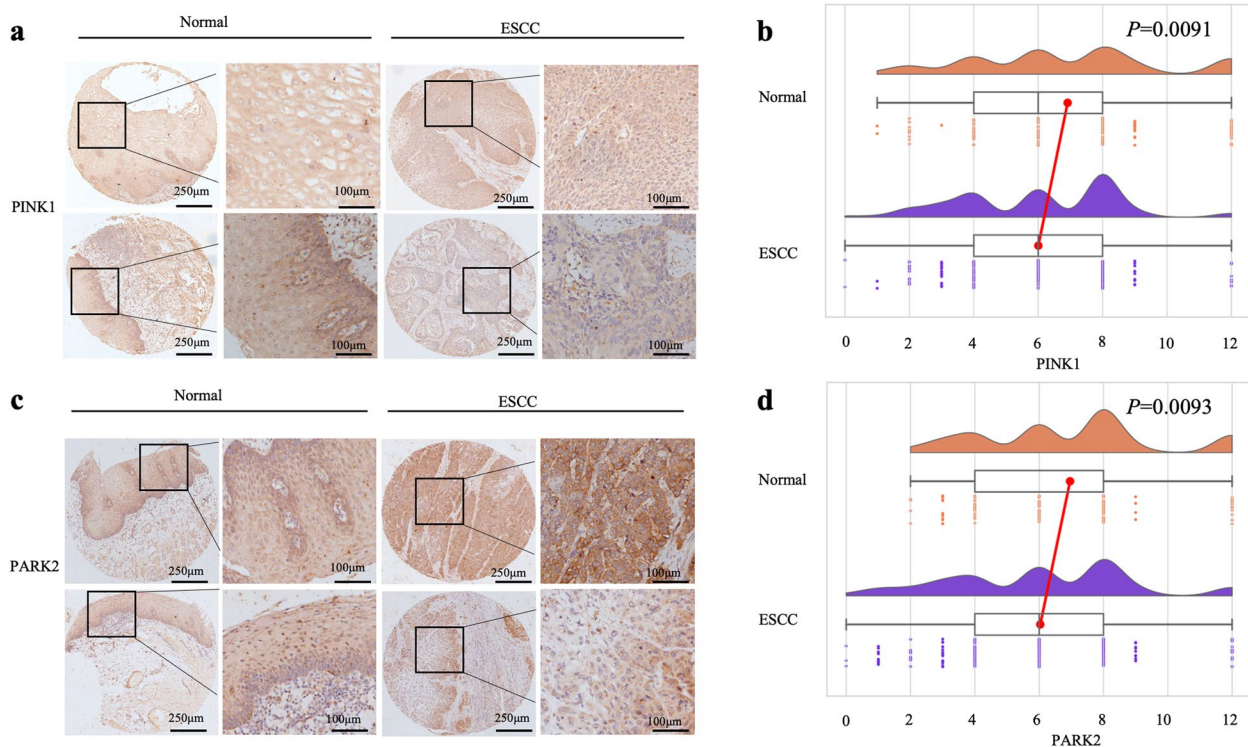
All statistical analyses were conducted using SPSS 25.0. Pearson’s chi-square analysis was used to compare PINK1 and PARK2 expression in ESCC and normal tissues and to determine the relationship between PINK1 and PARK2 expression with clinicopathological features in ESCC. To estimate the prognostic value of the PINK1 and PARK2 proteins in patients, a Kaplan–Meier survival analysis was performed. The relationship between the PINK1 and PARK2 proteins was then analyzed using correlation analysis. Furthermore, Cox univariate and multivariate analyses were used to assess the risk factors affecting the OS for patients with ESCC. The significance of a difference was set at  $P < 0.05$ .

**Results**

**PINK1 and PARK2 low expression was associated with tumor malignancy**

We immunostained 128 normal and 232 ESCC tissue samples. The PINK1 and PARK2 proteins were primarily found in the cytoplasm of normal tissues and ESCC samples (Fig. 1a and c). The ratio of PINK1 low expression in ESCC tissues (62.5%, 145/232) was significantly higher than that in normal tissues (51.6%, 66/128) ( $P < 0.05$ ; Table 1 and Fig. 1b). Similarly, the ratio of PARK2 low expression in ESCC tissues (60.8%, 141/232) was also higher than that in normal tissues (48.4%, 62/128) ( $P < 0.05$ ; Table 1 and Fig. 1c and d). Therefore, ESCC had low PINK1 and PARK2 expressions.

The relationship between PINK1, PARK2, and clinicopathological manifestations in patients with ESCC was investigated using Pearson’s chi-square analysis. PINK1 expression in ESCC differed statistically by grade, T stage, and TNM stage. The ESCC with low PINK1 expression differentiated worse than the ESCC with high PINK1 expression ( $P = 0.029$ , Table 2). T3–T4 stage patients with ESCC had lower PINK1 levels than T1–T2 stage patients with ESCC ( $P = 0.018$ , Table 2), whereas advanced TNM stage



**Fig. 1** Low expression of PINK1 and PARK2 in ESCC tissues. **a** The PINK1 protein is present in the cytoplasm of normal and ESCC tissues, strongly expressed in normal tissues, and weakly expressed in ESCC tissues (magnification:  $\times 40$  or  $\times 200$ ). **b** The PINK1 protein immunohistochemical score distributions in normal and ESCC tissues are statistically different ( $P < 0.05$ ). **c** The PARK2 protein is present in the cytoplasm of normal and ESCC tissues, where it is strongly expressed in normal tissues and weakly expressed in ESCC tissues (magnification:  $\times 40$  or  $\times 200$ ). **d** The PARK2 protein immunohistochemical score distributions in normal and ESCC tissues are statistically different ( $P < 0.05$ )

**Table 1** The expression of PINK1 and PARK in ESCC and adjacent normal tissues

Group	PINK1		PARK2	
	Normal n (%)	ESCC n (%)	Normal n (%)	ESCC n (%)
Low expression	66 (51.6)	145 (62.5)	62 (48.4)	141 (60.8)
High expression	62 (48.4)	87 (37.5)	66 (51.6)	91 (39.2)
$\chi^2$	4.068		5.106	
<i>p</i>	0.044 <sup>a</sup>		0.024 <sup>a</sup>	

<sup>a</sup> Statistically significant difference

patients with ESCC had lower PINK1 levels ( $P=0.022$ ). In patients with ESCC, PINK1 expression was not affected by age, sex, tumor size, location, lymph node metastasis, or metastasis ( $P>0.05$ , Table 2). In ESCC, PARK2 protein expression was associated with lymph node metastases and TNM stage ( $P<0.05$ , Table 2). Patients with lymph node metastases had lower PARK2 levels ( $P=0.039$ , Table 2). Patients with an advanced TNM stage had lower PARK2 expression ( $P=0.040$ , Table 2). The sex, age, tumor size, location, and metastasis of patients with ESCC were unrelated ( $P>0.05$ , Table 2).

**Low expression of PINK1 and PARK2 predicted poor prognosis in ESCC**

We have the clinical follow-up data on 125 patients. The Kaplan–Meier analysis was used to determine the

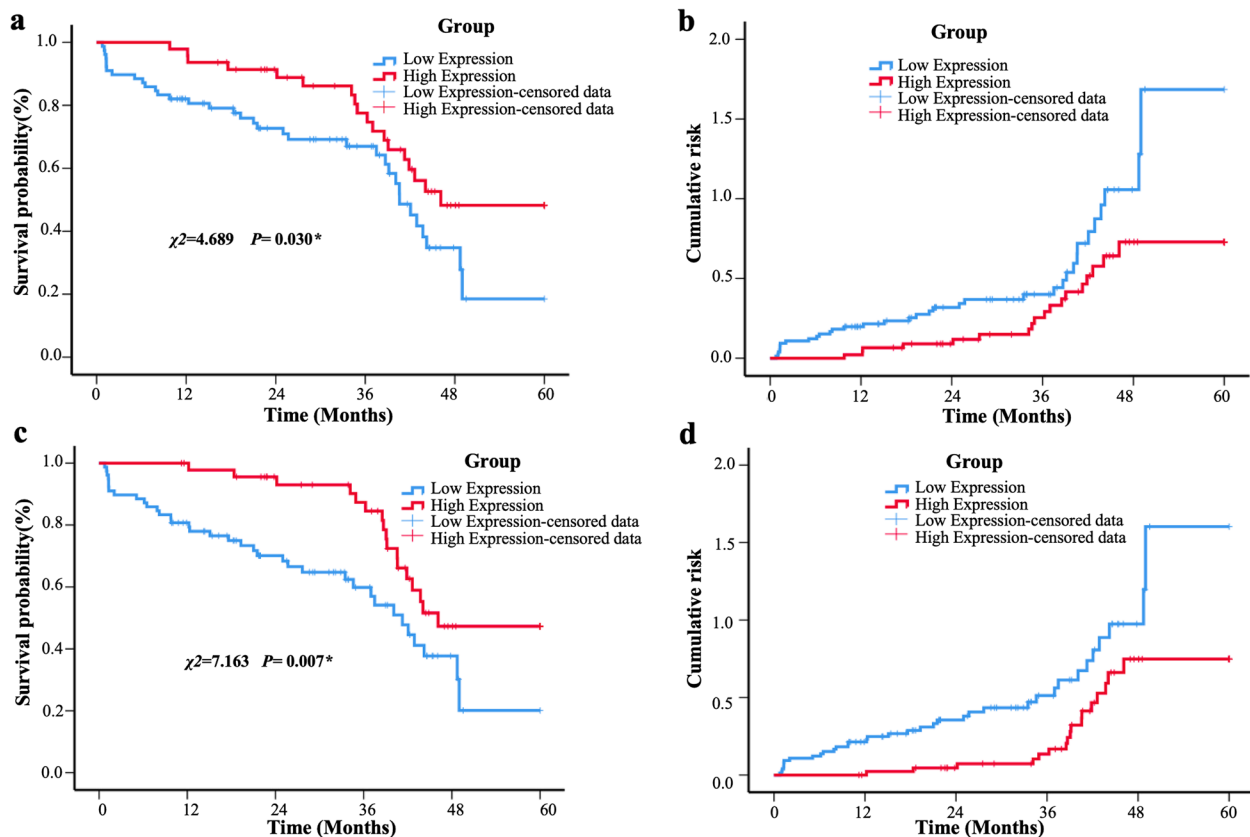
**Table 2** Relationship between the expression of PINK1 and PARK2 and clinicopathological characteristics of the ESCC patients

Parameters	<i>n</i>	PINK1		$\chi^2/t$	<i>P value</i>	PARK2		$\chi^2/t$	<i>P value</i>
		Low <i>n</i> (%)	High <i>n</i> (%)			Low <i>n</i> (%)	High <i>n</i> (%)		
Sex				0.296	0.587			1.080	0.303
Male	157	100 (63.7)	57 (36.3)			99 (63.1)	58 (36.9)		
Female	75	45 (60.0)	30 (40.0)			42 (56.0)	33 (44.0)		
Age				0.117	0.733			0.296	0.586
< 60	102	65 (63.7)	37 (36.3)			64 (62.7)	38 (37.3)		
≥ 60	130	80 (61.5)	50 (38.5)			77 (59.2)	53 (40.8)		
Location				0.420	0.517			3.190	0.074
Up and middle	129	83 (64.3)	46 (35.7)			85 (65.9)	44 (34.1)		
Low	103	62 (60.2)	41 (39.8)			56 (54.4)	47 (45.6)		
Tumor size				1.797	0.180			0.966	0.326
≤ 3 cm	68	38 (55.9)	30 (44.1)			38 (55.9)	30 (44.1)		
> 3 cm	164	107 (65.2)	57 (34.8)			103 (62.8)	61 (37.2)		
Grade <sup>a</sup>				7.107	<b>0.029*</b>			1.551	0.460
G1	35	15 (42.9)	20 (57.1)			18 (51.4)	17 (48.6)		
G2	148	96 (64.9)	52 (35.1)			93 (62.8)	55 (37.2)		
G3	49	34 (69.4)	15 (30.6)			30 (61.2)	19 (38.8)		
T stage				5.623	<b>0.018*</b>			1.823	0.177
T1–T2	97	52(53.6)	45(46.4)			54 (55.7)	43 (44.3)		
T3–T4	135	93(68.9)	42(31.1)			87 (64.4)	48 (35.6)		
Lymph node metastasis				3.231	0.072			4.265	<b>0.039*</b>
N0	113	64 (56.6)	49 (43.4)			60 (53.1)	52 (46.9)		
N1	119	81 (68.1)	38 (31.9)			80 (67.2)	39 (32.7)		
Metastasis				0.932	0.334			1.135	0.287
M0	223	138 (61.9)	85 (38.1)			134 (60.1)	89 (39.9)		
M1	9	7 (77.8)	2 (22.2)			7 (77.8)	2 (22.2)		
TNM stage <sup>b</sup>				5.225	<b>0.022*</b>			4.230	<b>0.040*</b>
I–II	103	56 (54.4)	47 (45.6)			55 (53.4)	48 (46.6)		
III–IV	129	89 (69.0)	40 (31.0)			86 (66.7)	43 (33.3)		

<sup>a</sup> Histologic grade was based on the WHO classification published in 2019

<sup>b</sup> TNM stage was assessed according to the 9th Edition of the AJCC Cancer Staging Manual

\* Statistically significant difference



**Fig. 2** Low expression of PINK1 and PARK2 predicts poor prognosis in patients with ESCC. **a** The Kaplan–Meier (K-M) survival curve shows that patients with ESCC who have low PINK1 expression have a poor prognosis ( $P=0.030$ ). **b** The cumulative risk curve shows high-risk ESCC deaths associated with low PINK1 expression. **c** The K-M survival curve shows patients with ESCC who have low PARK2 expression have a poor prognosis ( $P=0.007$ ). **d** The cumulative risk curve shows high-risk ESCC deaths associated with low PARK2 expression

relationship between PINK1 and PARK2 expression and OS in patients with ESCC. Patients with ESCC with low PINK1 expression had significantly lower OS than those with high expression ( $P=0.030$ , Fig. 2a and b). Patients with low expression of PARK2 also had a worse OS ( $P=0.007$ , Fig. 2c and d).

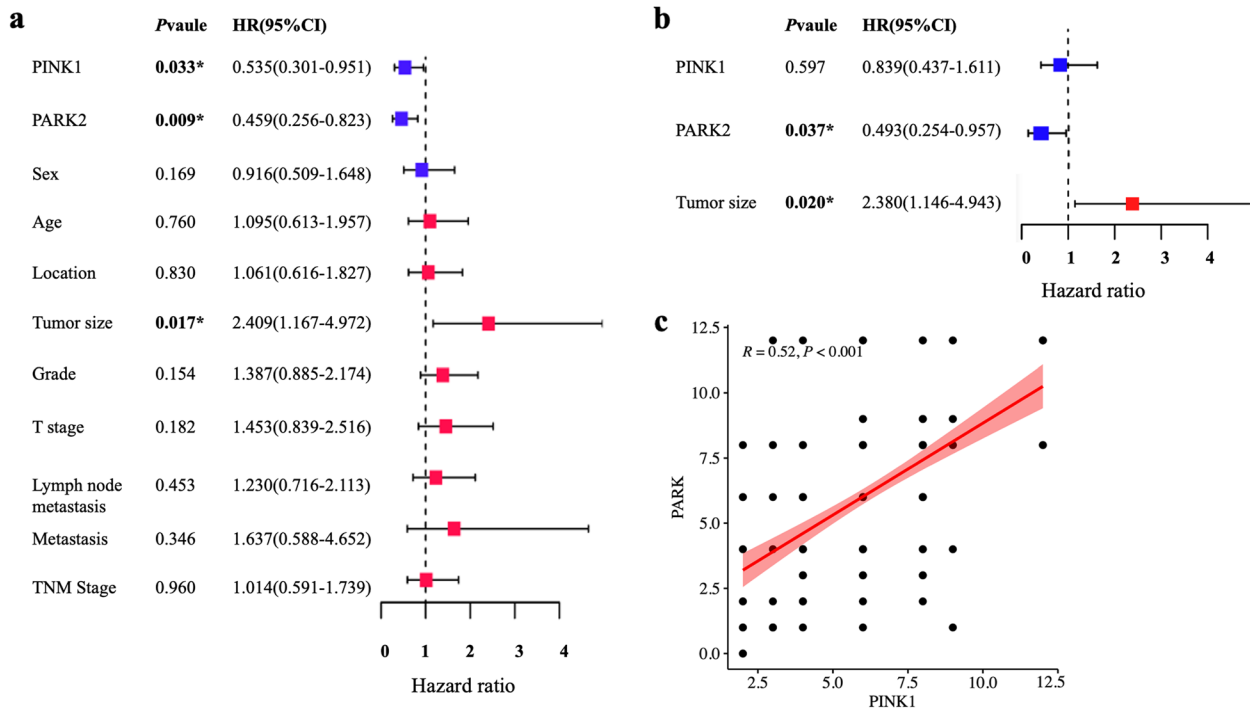
The clinical features were examined using Schoenfeld residuals to determine whether they adhered to the Cox regression assumptions. The results showed that the variables followed the Cox regression assumptions (Supplementary Fig. 1). Cox univariate and multivariate analyses were performed to determine the risk factors affecting the OS of patients with ESCC, including sex, age, location, tumor size, grade, T stage, lymph node metastasis, metastasis, TNM stage, and PINK1 and PARK2 expression patterns. The result shows that patients with ESCC who had reduced PINK1, PARK2, and tumor size had worse prognoses (Fig. 3a). The low-PINK1 group patients with ESCC had significantly lower survival (95% confidence interval (CI)=0.302–0.951,  $P=0.033$ ). Patients with low PARK2 expression had worse OS (95%

CI=0.256–0.823,  $P=0.009$ ). Tumor size was correlated with OS (95% CI=1.167–4.972,  $P=0.017$ ). However, the age, sex, location, grade, T stage, lymph node metastasis, metastasis, and TNM stage were not. Furthermore, Cox multivariate analysis revealed that low PARK2 expression was correlated with poor OS and that low PARK2 expression in ESCC was independently predictive of poor OS (95% CI=0.254–0.957,  $P=0.037$ ; Fig. 3b).

#### PINK1 was related to PARK2 and had a similar signaling pathway to PARK2

Spearman's correlation analysis showed that the PINK1 and PARK2 proteins were related ( $R=0.520$ ,  $P<0.001$ , Fig. 3c). Furthermore, the gene expression profiles of 178 EC samples were collected from The Cancer Genome Atlas (TCGA) database, and GSEA was used to analyze the functional enrichment in the high and low PINK1 and PARK2 expression groups (Fig. 4). KEGG pathway enrichment revealed that the low-expression of PINK1 and PARK2 groups shared the same signal pathways, which were primarily associated with cell cycle, DNA





**Fig. 3** Cox univariate and multivariate analyses of prognostic factors in patients with ESCC and PINK1 and PARK2 proteins are closely related. **a** Cox univariate regression analysis. **b** Cox multivariate regression analysis. **c** Spearman’s correlation analysis showed that the PINK1 and PARK2 proteins were closely related

replication, and pyrimidine metabolism (Figs. 4a and c), whereas the high-expression groups were mainly associated with the calcium signal pathway and the mTOR signal pathway (Figs. 4b and d).

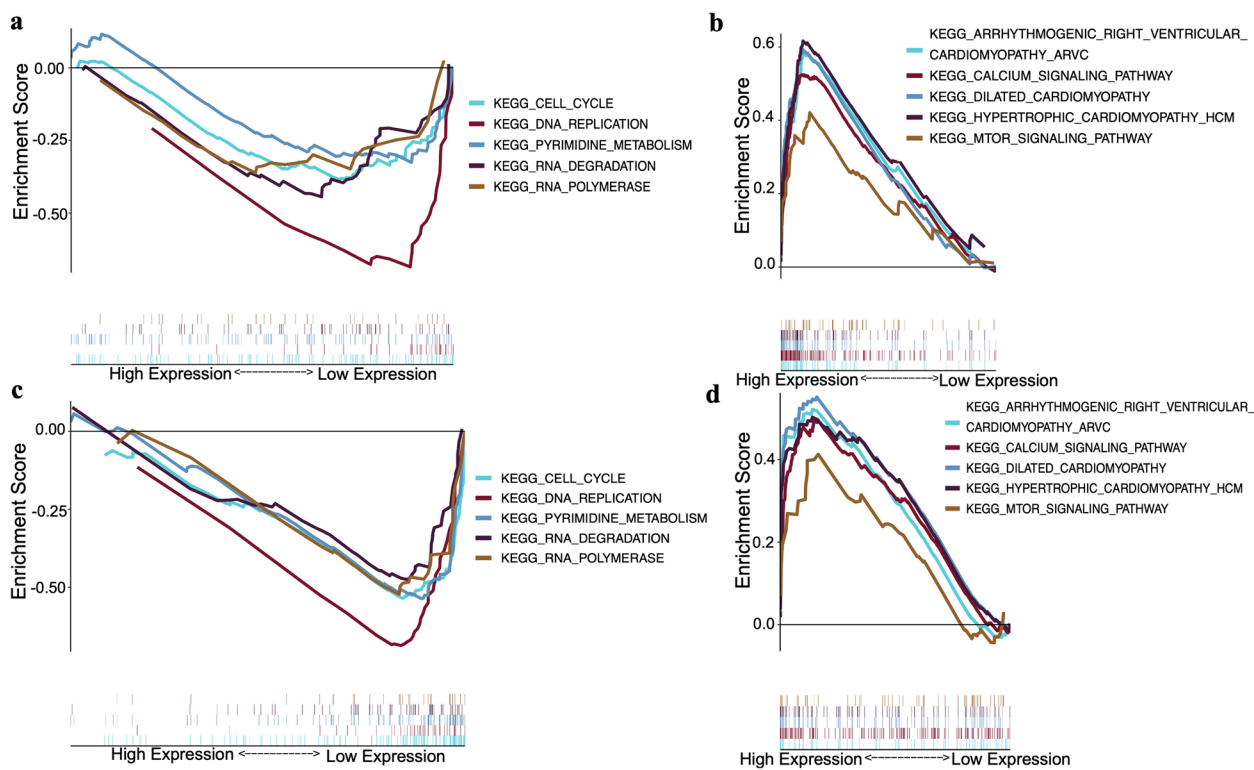
**Discussion**

PINK1 targets the outer mitochondrial membrane [31]. It controls mitophagy, cell proliferation, apoptosis, and angiogenesis [25, 32]. PINK1 promotes tumor cell proliferation, apoptosis, migration, and invasion in breast [20], gastric [33], colorectal, and liver carcinomas [20]. Abnormal PINK1 expression promotes tumor cell proliferation and invasion indefinitely.

Our findings showed that ESCC had low PINK1 expression. ESCC had 37.5% PINK1 overexpression, compared to 48.4% in adjacent normal tissues. Oncogenesis and tumor development involve mitophagy [25, 33]. Mitophagy involves the PINK1 protein. Xu et al. [34] found that PINK1 downregulation increased gastric cancer cell proliferation and migration by suppressing mitophagy and increasing mitochondrial reactive oxygen species (ROS). Our findings indicated that PINK1 protein expression was associated with the grade, T stage, and TNM stage but not with age, sex, location, tumor size, lymph node metastasis, or metastasis. The low-expressed PINK1 group ESCC had worse differentiation.

Patients with advanced T stage and advanced TNM stage had reduced PINK1 expression. Thus, PINK1 could be an ESCC biomarker.

PINK1 modulates immune reactions [35], metabolism [36], and chemosensitivity [37], making it a potential prognostic marker. According to Agnihotri et al. [36], PINK1 inhibits glioblastoma cell growth by regulating the Warburg effect. PINK1 targets the intervention of mitogen-cox-2/drp1-dependent mitochondrial dynamics and increases hepatocellular carcinoma chemosensitivity [37]. Furthermore, PINK1 can directly induce metformin and arsenic trioxide synergy in cervical cancer [38]. The survival curve in our study showed that patients with low-PINK1 ESCC had a shorter OS. In Cox univariate analysis, PINK1 was a prognostic risk factor for patients with ESCC. PINK1 was found to be elevated PINK1 was found to be elevated in non-small cell lung cancer and was linked to mitochondrial dysfunction. Furthermore, high PINK1 expression predicts a poor prognosis [20, 39]. However, due to some patients losing follow-up, these findings are limited (among 232 patients, 125 were followed up). All patients underwent radical resection of ESCC. However, some patients underwent thoracic surgery for radical resection, and others underwent thoracic laparoscopic assisted radical resection. Meanwhile,



**Fig. 4** PINK1 and PARK2 share pathways. **a** KEGG pathways enriched in the PINK1 low-expression group. **b** KEGG pathways enriched in the PINK1 high-expression group. **c** KEGG pathways enriched in the PARK2 low-expression group. **d** KEGG pathways enriched in the PARK2 high-expression group

individual patients were infected with bacteria after surgery, which may affect their prognosis. It should also be confirmed that low expression of PINK1 is associated with shorter survival in a larger sample of patients.

PARK2, an E3 ubiquitin ligase, is suppressed in the cytoplasm. During environmental-induced mitochondrial autophagy, PARK2 in the cytoplasm activates and recruits into the mitochondria to improve mitochondrial quality control [22]. PARK2 protein, a transcription regulator, plays multiple roles in different diseases. PARK2 reduces serine production and glycolysis, which inhibit tumor cell proliferation [28, 30]. In contrast to normal tissues (48.4%), ESCC tissues had 60.8% PARK2 low expression. So, PARK2 was lowly expressed in ESCC. We also found that lymph node metastasis and TNM stage were linked to PARK2 protein expression. Patients with lymph node metastases and an advanced TNM stage expressed less PARK2. The survival curve in our study revealed that patients with ESCC who had low PARK2 expression had a shorter OS, and Cox univariate and multivariate analyses revealed that low PARK2 expression independently predicted the poor OS in ESCC. These findings are consistent with a previous study on non-small cell lung cancer [28].

Members of the PD gene family, PINK1 and PARK2, regulate mitochondrial autophagy, turnover, and biogenesis [40]. Their abnormalities cause familial Parkinson's disease [10]. They regulate mitochondrial autophagy through the PINK1/Parkin signal pathway [40]. Parkin deficiency-induced PINK1 inactivation causes cancer [41]. Parkin and PINK1 deletions synergistically promote tumor development [41]. Our study showed that the low expression of PINK1 and PARK2 proteins was strongly associated with the prognosis of patients with ESCC. Moreover, the functional enrichment analysis revealed that PINK1 and PARK2 shared the same signal pathways. Because PINK1 and PARK2 were low expressed in ESCC, we focused on the KEGG pathway enrichment in the lowly expressed group. We found that the signal pathways were cell cycle, DNA replication, and pyrimidine metabolism. In Kras-driven pancreatic ductal adenocarcinoma (PDAC), the deletion of PINK1 and PARK2 increased cell proliferation and metastasis [14, 42]. PINK1 and PARK2 also destabilize HIF-1 $\alpha$ , with PARK2 promoting its degradation [43]. In PDAC, the deletion of PINK1 or PARK2 reverses HIF-1 $\alpha$  deletion, increasing glycolysis and ROS [42]. Some amino acids, such as aspartic acid, require functional mitochondria for nucleotide biosynthesis,

which promotes tumor cell proliferation [44]. Parkin or PINK1 deletion impairs mitophagy and amino acid biosynthesis [28]. PARK2 expression, according to Gupta A et al. [41], increases PINK1, which inhibits the PI3K/AKT signaling pathway, reducing cell survival and proliferation. Therefore, the PINK1/PARK2 signaling pathway may regulate the glucose metabolism of tumors by regulating mitochondrial function, thereby regulating tumor proliferation and metastasis and mediating tumor prognosis. However, further research is needed to illuminate the regulatory mechanism of the PINK1/ PARK2 signaling pathway in ESCC.

## Conclusions

PINK1 and PARK2 are risk factors for ESCC patients and may be tumor suppressors. The PINK1 and PARK2 proteins were closely related and shared the same biological signaling pathways. The PINK1/PARK2 signal pathway may represent a potential target for ESCC treatment.

## Abbreviations

PD	Parkinson's disease
ESCC	Esophageal squamous cell carcinoma
EC	Esophageal carcinoma
TNM	Tumor node metastasis
OS	Overall survival
IHC	Immunohistochemistry
GSEA	Gene set enrichment analysis
KEGG	Kyoto Encyclopedia of Genes and Genomes
CI	Confidence interval
PDAC	Pancreatic ductal adenocarcinoma

## Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12957-023-03206-3>.

Additional file 1.

## Authors' contributions

This project was designed and conceived by Xiangyun Lu and Yongkun Yao. Yandi Ma and Peng Hao performed experiment, Yuhui Pei collected the clinical information, Xuedong Zhang and Yulin Lu analyzed this data. Xiangyun Lu wrote the manuscript. Lianghai Wang guided the research executed and revised the paper. The manuscript was read and authorized by all authors.

## Funding

This study was supported by the National Natural Science Foundation of China (82060513), the Bingtuan Science and Technology Program (2022ZD002), the Youth Program of Shihezi University (ZZZC202133) and the Non-profit Central Research Institute Fund of Chinese Academy of Medical Sciences (2020-PT330-003).

## Availability of data and materials

The datasets used and analyzed during the current study are available from the author upon reasonable request.

## Declarations

### Ethics approval and consent to participate

This research was approved by the Ethics Committee of the First Affiliated Hospital, Shihezi University School of Medicine (KJ2022-047-01), and written informed consent was obtained from all participants. All methods were performed in accordance with the relevant guidelines and regulations.

### Consent for publication

Not applicable.

### Competing interests

The authors declare no competing interests.

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Received: 12 July 2023 Accepted: 3 October 2023

Published online: 13 October 2023

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